



2768
2161

PT0001-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

CHRISTOPHER STEPHENS ET AL.

Serial No.: 09/504,939

Art Unit: 2768

Filed: February 16, 2000

Examiner: Not Yet Assigned

For: SYSTEM AND METHOD FOR
CREATING DISTRIBUTING AND
MANAGING ARTIFICIAL AGENTS

**RENEWED REQUEST FOR WITHDRAWAL AS ATTORNEY
AND RESPONSE TO DECISION ON REQUEST FOR WITHDRAWAL
OF ATTORNEY DATED NOVEMBER 18, 2003**

Kenneth J. Dorner
Special Programs Examiner
Patent Technology Center 3600
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED

MAR 24 2004

GROUP 3600

Sir:

Responsive to the Decision mailed November 18, 2003 denying permission to withdraw as attorney of record in the above-identified application for lack of documentary evidence to prove a chain of title from the original owners to the assignee, enclosed is a copy of an Assignment from inventors Stephens et al. to Assignee Adaptive Technologies SA de CV recorded at Reel 011504, Frame 0733 on February 1, 2001 in the U.S. Patent & Trademark Office clearly indicating the chain of title in this application.

In light of this information, it is respectfully requested that this Request be reconsidered and the following attorneys be granted permission to withdraw from the above-identified application:

Serial No.: 09/504,939
Art Unit: 2768

Attorney's Docket No.: ADT0001-US
Page 2

Michael D. Bednarek, Reg. No. 32,329; Lawrence J. Gotts, Reg. No. 31,163; Aslan Baghdadi, Reg. No. 34,542; Elizabeth M. Roesel, Reg. No. 34,878; David C. Isaacson, Reg. No. 38,500; Steven P. Arnheim, Reg. No. 43,475; Poh C. Chua, Reg. No. 44,615; Michael A. Oblon, Reg. No. 42,956; Lawrence D. Eisen, Reg. No. 41,009; Michele Burris, Reg. No. 44,576 and Michael S. Lee, Reg. No. 41,434.

The undersigned attorney is authorized to sign on behalf of the other attorneys listed above. Please change the correspondence address and direct all future correspondence to:

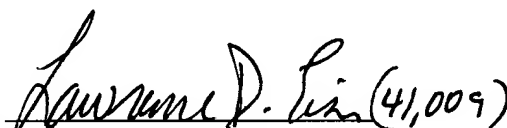
Ms. Susan Talley
Adaptive Technologies
8763 West Sierra Vista Drive
Glendale, AZ 85305

If any fees are necessary to act upon this request, the Patent Office is authorized to charge Deposit Account No. 50-1390.

SHAW PITTMAN LLP
1650 Tysons Boulevard
McLean, VA 22102
Tel: 703/770-7900

Respectfully submitted,

Date: March 19, 2004

By:  (41,009)
for Michael D. Bednarek
Registration No. 32,329

Attachment: Copy of Recorded Assignment Document

MDB/LDE/ggb

Document #: 1277317 v.1

MAR 19 2004

02-14-2001

cket No.: ADT0001-US

DS

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
Copyright 1996-97 LegalStar
P08A/REV02

RE:



101612008

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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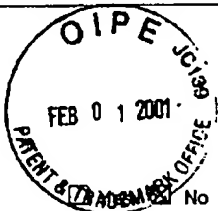
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Christopher R. STEPHENS
Henri WAELBROECK

2-1-01

Additional names(s) of conveying party(ies)



2. Name and address of receiving party(ies):

Name: ADAPTIVE TECHNOLOGIES SA de CVAddress: CIRCUITO ESTADIO AZTECA # 7, INT. 2COL. MEDIA LUNA

City: _____ State/Prov.: _____

Country: MEXICO DF ZIP: _____

Additional name(s) & address(es)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: JANUARY 30, 2001

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.

Filing date

09/504,939

02/16/00

B. Patent No.(s)

02/13/2001 6TDM11 00000107 09504939

01 FC:581

40.00 OP

Additional numbers

☐ Yes ☒ No

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5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MICHAEL D. BEDNAREKRegistration No. 32,329Address: SHAW PITTMAN2300 N STREET, N.W.City: WASHINGTON State/Prov.: D.C.Country: USA ZIP: 20037-1128

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

50-1390

40E

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

MICHAEL D. BEDNAREK

Name of Person Signing

Signature

FEBRUARY 1, 2001

Date

5

Total number of pages including cover sheet, attachments, and

PATENT

REEL: 011504 FRAME: 0733

Jan-31-2001 11:28am From-

SHAW PITTMAN (DC)

2026638007

T-674 P.002/002 F-266

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

Adaptive Technologies SA de CV
Circuito Estadio Azteca #7, Int. 2
Col. Media Luna
MEXICO DF

(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to his invention relating to

**SYSTEM AND METHOD FOR CREATING, DISTRIBUTING AND
MANAGING ARTIFICIAL AGENTS**

as set forth in his United States Patent Application

☐ executed concurrently herewith

☐ Executed on _____

☒ Serial No. 09/504,939 filed February 16, 2000

in and to said United States Patent Application including any and all divisions or continuation thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to be full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors, legal representatives or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees that at any time upon request of said ASSIGNEE, its successors, legal representatives or assigns he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its

PATENT

REEL: 011504 FRAME: 0734

Jan-31-01 02:40pm From-SHAW PITTMAN

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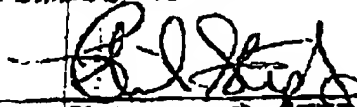
T-123 P.03/03 F-834

6388782

P.83

- 2 -

successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of
the United States for said invention.



Name: Christopher R. STEPHENS

20/01/01

Date:

Name: Henri WAELEBROECK

Date:

Document # 1116007.1

PATENT

REEL: 011504 FRAME: 0735

02-01-01 08:27am From:SHAW PITTMAN

T-147 P.02/03 F-416
FEBRUARY 16 2000**ASSIGNMENT**

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

**Adaptive Technologies SA de CV
Circuito Estrada Azteca #7, Int. 2
Col. Modis Luna
MEXICO DF**

(hereinafter **ASSIGNEE**) all right, title and interest for the United States, its territories and possessions in and to his invention relating to

**SYSTEM AND METHOD FOR CREATING, DISTRIBUTING AND
MANAGING ARTIFICIAL AGENTS**

as set forth in his United States Patent Application

☐ executed concurrently herewith

☐ Executed on _____

☒ Serial No. **09/584,932** filed **February 16, 2000**

in and to said United States Patent Application including any and all divisions or continuation thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said **ASSIGNEE**, its successors, legal representatives and assigns to be full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said **ASSIGNEE**, its successors, legal representatives or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees that at any time upon request of said **ASSIGNEE**, its successors, legal representatives or assigns he will communicate to said **ASSIGNEE**, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said **ASSIGNEE**, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor or thereon, including reissues or extensions, in said **ASSIGNEE**, its successors, or assigns or to assist said **ASSIGNEE**, its

PATENT

REEL: 011504 FRAME: 0736

02-01-01 08:28am From-SHAW PITTMAN

T-147 P.03/03 F-416
... ..

- 2 -

successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention.

Name: Christopher R. STEPHENSDate:
Name: Henri WAELEBROECKJan. 30, 2001
Date:

Document # 1116007 v 1

RECORDED: 02/01/2001

PATENT
REEL: 011504 FRAME: 0737